

Seepage in a flood protection levee – first geotechnical centrifuge test results

Gregor Portmann^{1#} , André Arnold¹ , Yuen Zhang² , Amin Askarinejad² 

Review Article

Keywords

Centrifuge modelling of a flood protection levee
Transient seepage flow
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Abstract

Many flood protection levees in Europe were built more than 100 years ago. These levees often do not meet the current flood protection requirements due to increased level of safety requirements, higher damage potential in the valley plains and due to higher peak discharges or water levels expected with changing climatic conditions. A first series of centrifuge tests on two idealized cross-sections of the river Rhine flood protection levee have been carried out in the geotechnical centrifuge at Delft University of Technology in order to study the transient seepage behaviour of a horizontally layered levee consisting of layers with coarse and fine-grained material. Main features and design considerations of a specially manufactured flood simulator for the geotechnical centrifuge which allows replicating scaled flood events with predefined durations and intensities are presented. Furthermore, measured values of the pore pressure during the investigated flood event are reported and discussed in comparison to the results of finite element modelling of the levee. Finally, the potential impacts of the hydraulic boundary conditions on the seepage behaviour in the physical and numerical models of the levee are critically discussed.

1. Introduction

Many existing flood protection levees no longer satisfy current safety standards, particularly in the context of climate change. The increasing frequency and intensity of heavy precipitation, together with the rising zero-degree isotherm in alpine regions, leads to more severe flood events characterized by higher water levels (NCCS, 2021). This is illustrated by the example of the flood protection levees along the Alpine Rhine, which are currently being restored in sections. The original straightening and damming of the Rhine started in the 19th century (Zur Rhein-Hochwasserkatastrophe, 1927) and no longer fulfils current flood protection requirements (Tschanz, 2018).

A conservative engineering design approach assumes steady-state seepage flow through the levee and is often considered when investigating levee stability or developing measures against stability problems (CIRIA, 2013). However, it seems reasonable to consider transient seepage conditions, as flood events in alpine regions often only have a duration of 12 to 48 h (Aschwanden & Schädler, 1988). This could offer a potential for cost optimisation in project planning of remediation measures. Research results on a model river-embankment consisting of silty-sandy material in a geotechnical centrifuge by Giretti et al. (2022) show that the saturation

front in the levee reaches the air-side of the levee only after an unrealistic long flood period of more than 100 days. Mayor (2013) reports similar results in his large-scale tests at the river Rhône levee consisting of silty-sandy material. Even an eleven-day long flood was not enough for the saturation front to reach the air-side of the levee. With further tests, however, Mayor showed that the time until the saturation front reaches the air-side of the levee depends directly on its permeability and its initial degree of saturation.

However, if transient flow conditions are to be considered in the design, a more detailed understanding of the evolution of pore water pressure in the levee body is required to ensure safe design. Physical modelling under transient flow conditions can provide valuable data for better interpretation and potentially validation of numerical calculation methods.

A model-levee is used because the construction of a 1:1 scale model levee is complex and costly (Mayor, 2013; Toromanovic et al., 2020). A geotechnical centrifuge is used to increase the acceleration field and thus the effective stresses in the model to ensure comparable results of the model tests with the prototype (Madabhushi, 2014). A geometrically N -times smaller levee is subjected to an N -times larger g -level as the effective stresses are generally accepted to be linearly correlated with depth (Wood, 2004; Askarinejad et al., 2015).

#Corresponding author. E-mail address: gregor.portmann@hslu.ch

¹Lucerne University of Applied Sciences and Arts, Engineering and Architecture, Institute of Civil Engineering, Horw, Switzerland.

²Delft University of Technology, Geoscience & Engineering, Delft, Netherlands.

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Another advantage of centrifuge modelling is the scaling of time for seepage processes. Assuming the intrinsic permeability does not differ between model and prototype and that water is used as the saturating fluid in the model, it can be shown that the seepage velocity v in a centrifuge model (index m) is N -times faster than in the prototype (index p) (Thusyanthan & Madabhushi, 2003):

$$v_m = N v_p \quad (1)$$

Consequently, it can be shown that the seepage process is N^2 -times faster in the centrifuge model (Garnier et al., 2007) compared to the prototype, as the length of the seepage path l in the model is N -times smaller:

$$t_m = \frac{l_m}{v_m} = \frac{\frac{1}{N} l_p}{N v_p} = \frac{1}{N^2} t_p \quad (2)$$

This means that at a g -level of $N = 100$ a prototype flood with a duration of $t_p = 72$ hours is modelled within $t_m = 25.9$ seconds.

2. Method

In this study, the investigation of flow behaviour within a layered flood levee is primarily conducted through physical centrifuge modelling. Subsequently, numerical modelling was performed for one cross-section - with the same level of details and complexity similar to common engineering practice - to complement the experimental findings through a comparative assessment.

2.1 Centrifuge modelling

The experiments were conducted at $100g$ in the geotechnical centrifuge at the Delft University of Technology which has a radius of 1.22 m and a basket size of 400x500x500 mm (Allersma, 1994; Zhang & Askarinejad, 2021). A flood simulator was designed and constructed for this purpose. A flood wave could be generated inflight with the help of a water tank and servo coupled valves. In addition, a constant water level was maintained at the downstream side of the levee. The flood simulator has outer dimensions of $L \times H \times W = 615 \times 200 \times 120$ mm, with 15 mm thick aluminium panels and a transparent side wall made of 15 mm thick acrylic glass. Figure 1 shows a model levee installed in the flood simulator in the centrifuge basket. The water tank for the flood wave (1.6 litres) is situated on top of the flood simulator.

The model levee was constructed according to the prototype of two typical cross-sections of the river Rhine levee (Figure 2). On top of the permeable Rhine gravel lies a less permeable layer of flood deposits on which the

gravelly levees were built (cross-section A as given in prototype scale in Figure 2). Field investigations revealed that the less permeable layers of flood deposits below the levee do not always extend to the water side. Therefore, a second cross-section B was investigated in which this layer only extends to approximately below the crest of the levee (see Figure 2). In the following, cross-section A is referred to as levee A and cross-section B as levee B.

The model levees were constructed at a scale of 1:100 as shown for levee A in Figure 3. The crest of the levee is 80 mm higher than the air-side terrain and has a base width of approximately 350 mm. It is important that the underlying permeable Rhine gravel is modelled as well since it may influence the seepage behaviour. Geotechnical properties of the materials used in the model are summarised in Table 1,

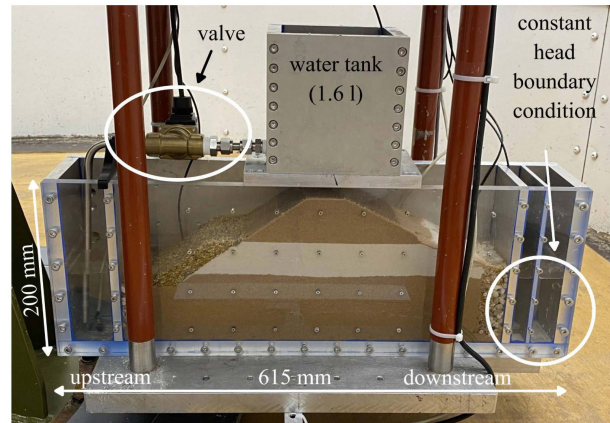


Figure 1. Model levee A inside the flood simulator.

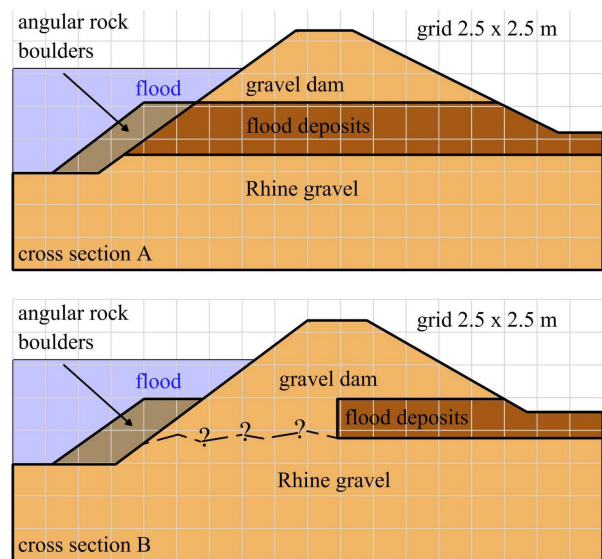


Figure 2. Typical cross sections (A & B) of the river Rhine levee in prototype scale.

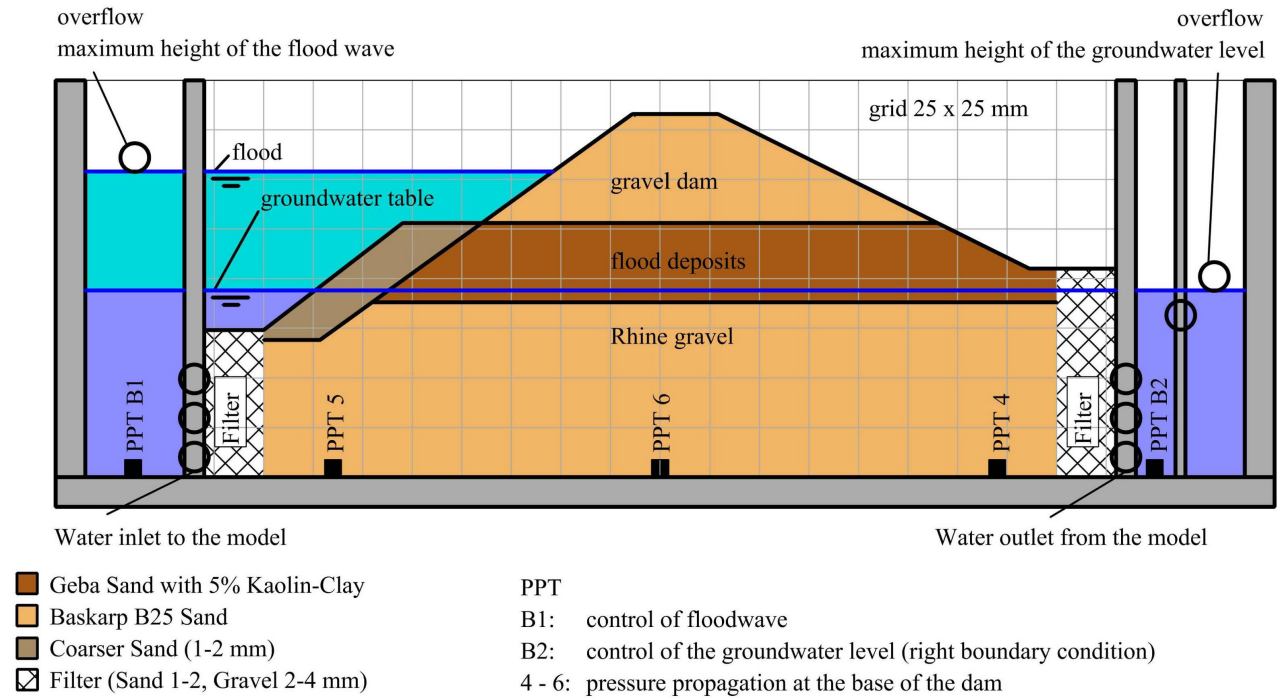


Figure 3. Geometry of levee A built into the flood simulator including positions of PPTs. Dimensions in [mm].

Table 1. Geotechnical properties of the levee materials used in the centrifuge test.

Soil type	$e^{(1)}$ [-]	k_v [m/s]	ϕ'_{cv} [°]
Baskarp B25 Sand	0.69	$(4.6 - 5.8) \cdot 10^{-5}$	32 - 34
Geba Sand with 5% Kaolin Clay	0.64	$(2.3 - 2.6) \cdot 10^{-6}$	$\sim 31^{(2)}$
Geba Sand with 10% Kaolin Clay	0.56	$(4.0 - 5.8) \cdot 10^{-7}$	$\sim 31^{(2)}$

⁽¹⁾ voids ratio after compaction at $w = 10\%$. ⁽²⁾ value applies for Geba Sand without clay.

where e is the voids ratio, k_v is the corresponding coefficient of the vertical permeability and ϕ'_{cv} the critical state angle of friction. In prototype, the Rhine gravel and the Rhine levee have a similar permeability, so both materials are modelled with Baskarp B25 sand (Pol et al., 2021). For the less permeable layer of flood deposits a mixture of Geba Sand (Maghsoudloo et al., 2021) with 5% Kaolin Clay for levee A and 10% Kaolin Clay for levee B was used. The permeabilities were determined with constant head tests (Head & Epps, 2011). The values determined in the tests correspond to the vertical permeability k_v .

The soil materials were placed in the flood simulator in layers with a water content w of 10% and compacted uniformly. At the same time, a two-stage filter made of coarser material was installed at the up- and downstream boundary of the model. The filter is made of gravel 2-4 mm and sand 1-2 mm and is therefore significantly coarser than the Baskarp B25 sand with a grain size d_{70} of 0.26 mm (Pol et al., 2021).

After the entire flood simulator was filled, the levee was shaped by removing the excess material. At the same time, samples were taken to check the layer density.

Next, the river-side berm, which in the prototype is made of angular rock boulders as an erosion protection at the base of the levee (Figure 2), was constructed in the model. For this purpose, the berm was built up from coarse grained 1-2 mm sand, see Figure 4.

Three Pore water Pressure Transducers (PPTs) were installed at the bottom of the model (Figure 3) to record the propagation of pore water pressure in the levee. To check the boundary conditions, two PPTs were installed in the upstream inlet tank and in the downstream outlet tank, respectively.

Figure 5 shows the planned flood characteristics in the prototype scale for the tests. The water levels refer to the heights from the base of the flood simulator (Figure 3) and are given in prototype scale hereafter, with the corresponding model scale in parentheses: The riverbed accordingly lies at a height of 7.4 m (74 mm). The goal was to model a flood wave consisting of a pre-wave of 13 m (130 mm), a flood peak of 15.4 m (154 mm) and a post-wave of 12.3 m (123 mm) by opening and closing the valves, starting from an initial river level at 9.4 m (94 mm) (steady state with 2 m (20 mm) high river, see Figure 3). Water was used as the fluid for the centrifuge experiments. The model time for seepage processes is therefore reduced by a factor of $1:100^2$ according to Equation 2, since the model was tested at 100 g. This means that the entire flood event with a duration of 60 h at prototype scale translates to 21.6 s in the centrifuge

experiment. The experiments were recorded through the acrylic glass using a digital camera.

The test procedure is described hereafter with the corresponding prototype time steps, model time is given

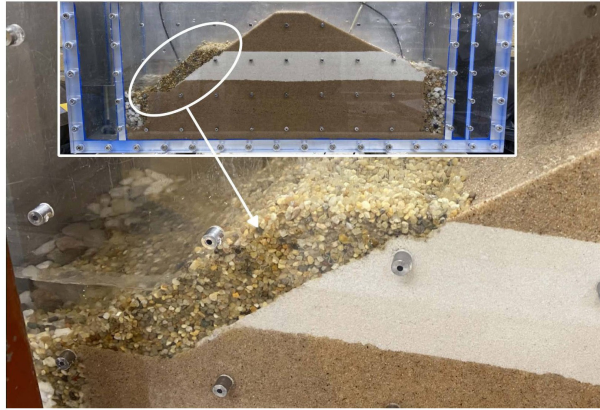


Figure 4. Upstream berm made from coarse grained 1-2 mm sand.

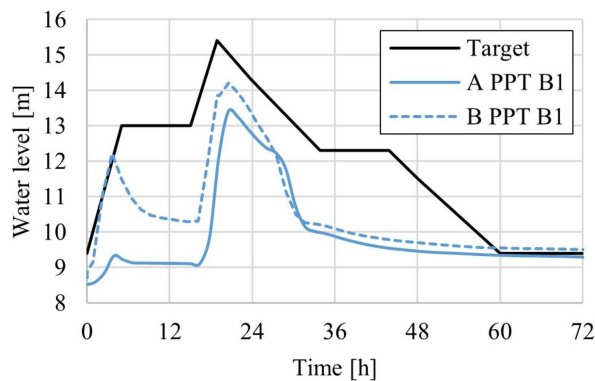


Figure 5. Target hydrograph of the flood wave in prototype scale compared to the actual measurements of PPT B1 achieved during test for levees A and B (riverbed is located at 7.4 m).

in parentheses: After the centrifuge reaches 100 g ($t = 0$), the initial water level of 9.4 m (94 mm) is kept constant for 14 d (2 min) to allow stationary conditions to be established. Subsequently, the flood wave is applied stepwise within 60 h (21.6 s) as shown in Figure 5. Finally, the model is kept under 100 g for another 35 d (5 min) to restore initial conditions.

After the centrifuge test, the model is checked for any signs of erosion, piping or stability problems. However, the desired flood wave could not be achieved because some of the valves could not be opened or closed properly at 100 g (Figure 5). Thus, it was difficult to maintain a constant water level with the water available in the tank due to the loss of water through seepage.

2.2 Comparative numerical model

A comparative numerical model of the levee A is developed at prototype scale in the program PlaxFlow2D (PLAXIS CONNECT Edition V20.04.00, 2020) with the same boundary conditions as given in the experiment. Special attention was paid to the following points:

- The flood wave is modelled according to the data measured in the centrifuge test (i.e., PPT B1 hydrograph in Figure 5).
- The permeable berm on the water side made of coarse sand is not modelled to minimise the computational effort. It is assumed that due to the higher permeability, it does not significantly influence the seepage behaviour.
- A constant head boundary condition is set on the air-side as given in the centrifuge model test.
- Figure 6 shows the FE model with the corresponding boundary conditions and the mesh. The initial water level of the river lies 2 meters above the riverbed and corresponds to the height of the constant head boundary condition on the right edge of the model (initially no hydraulic gradient). The variable water level is indicated in light blue on the left side of the levee.

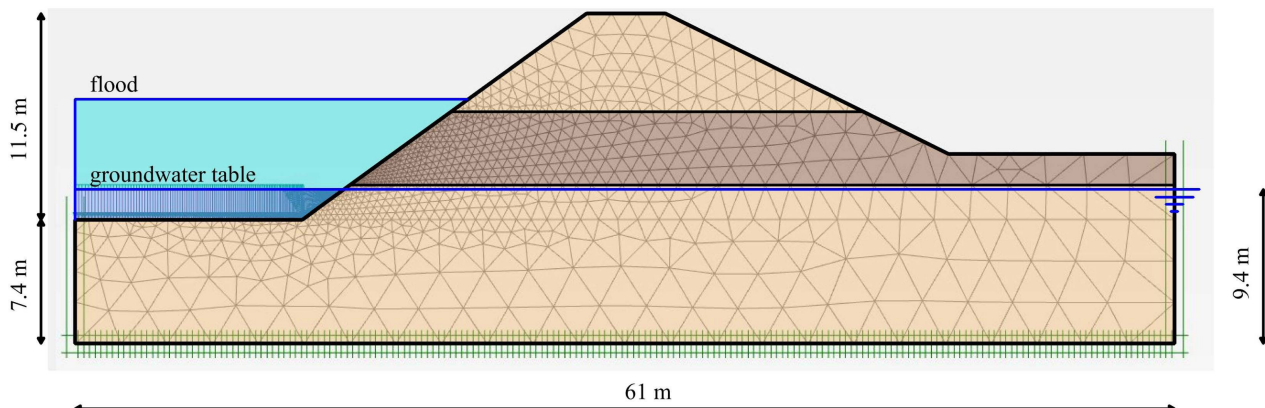


Figure 6. FE model geometry with mesh and boundary conditions for the PlaxFlow2D calculation.

The pressure conditions are compared at points that are always saturated (PPTs in Figure 3). However, it must be assumed that the modelling of the unsaturated levee area above has an influence on the results. For a comparative model, the unsaturated zones of the levee and their behaviour are described using the van Genuchten (1980) model implemented in the software. Thus, with the help of the dimensionless volumetric water content Θ (Equation 3), the relative hydraulic permeability K_r of partially saturated soils can be described (Equation 4).

$$\Theta = \frac{(\theta - \theta_r)}{(\theta_s - \theta_r)} \quad (3)$$

$$K_r(\Theta) = \Theta^{\frac{1}{2}} \left[1 - \left(1 - \Theta^{\frac{1}{m}} \right)^m \right]^2 \quad (4)$$

In Equation 3, θ describes the actual volumetric water content, θ_r is the residual and θ_s is the saturated volumetric water content. The parameter m is linked to the soil-water retention curve given in Equation 5 as $m = 1 - 1/n$:

$$\theta = \theta_r + \frac{(\theta_s - \theta_r)}{\left[1 + (\alpha \cdot h)^n \right]^{\frac{1}{m}}} \quad (5)$$

In Equation 5, α , n and m are model parameters describing the curvature of the water retention curve after van Genuchten (1980) and h is the pressure head (suction is positive). However, no soil-water retention curves were available for the materials used. To be able to carry out an approximate calculation and compare it to the centrifuge tests, parameter sets stored in PLAXIS were used, see Table 2. The parameter sets were selected on the basis of the particle size distribution in such a way that the numerical calculation models produced the best possible comparison to the centrifuge test results. Due to the chosen procedure, some general points must be emphasised:

- The calculations represent an initial estimate. The actual water retention curves and the associated parameters α and n may differ from those used in the present model.
- A difference between drying and wetting curves can be observed in reality (Benson et al., 2014). This is not taken into account in the numerical model.
- As there is no information on the ratio of saturated horizontal permeability k_h to vertical permeability k_v and a reliable estimate is difficult, no distinction was made.

3. Results

Both levees did not exhibit any stability problems during the centrifuge tests. Furthermore, it became evident that the entire model (i.e., the centrifuge basket) tilts slightly during the experiments, which results in a water level that is inclined relative to the flood simulator. This phenomenon occurs when the centre of gravity is not in the axis of the centrifuge basket's hinge. However, due to the flood wave and the seepage, the centre of gravity is constantly changing - which explains the phenomenon (the model was installed perpendicular to the flight direction to avoid curvature of the water surface). Thus, at the beginning of the test, the water table at PPT B1 was lower at about 8.4 m than at the end of the test at about 9.3 m (Figure 5).

Analysis of images from the centrifuge tests showed that the flood wave effectively acting on the levee body reached its maximum level almost one second later than in the flood tank where the measurement of PPT B1 was carried out (Figure 3). This delay is due to the fact that water needs to flow through the gravel filter and water inlet holes (3 holes with $d = 6$ mm; Figure 3). One second corresponds to a delay of approximately 2.7 hours in prototype scale. This delay is taken into account in the comparison with the comparative numerical model.

3.1 Comparison of centrifuge test results for levee A and B

Immediately after the tests, the air-side slopes of both levees were examined. A difference between levee A and B was observed. Seepage paths were able to form above the continuous flood deposit layer of levee A, which led to a water outflow at the air-side embankment, resulting in sand washouts and surface erosion (see Figure 7, top). However, this phenomenon could not be observed for levee B (see Figure 7, bottom). Figure 7 also shows probable flow paths. For levee B in particular, the dark discolouration of the Baskarp B25 Sand indicates a change in the degree of saturation, although it is not possible to determine whether the dark sand is fully saturated. In contrast, no change in colour could be detected in the lighter-coloured Geba Sand Kaolin Clay mixture with the existing resolution.

Table 2. Input parameters for the transient seepage analysis.

Parameter	Geba Sand with 5% Kaolin Clay	Baskarp B25 Sand
$k_h = k_v$ [m/s]	$2.3 \cdot 10^{-6}$	$5.0 \cdot 10^{-5}$
e_{init} [-]	0.64	0.69
θ_r [-]	0.01	0.01
θ_s [-]	0.34	0.32
α [1/m]	1.7	5.21
n [-]	1.717	2.374

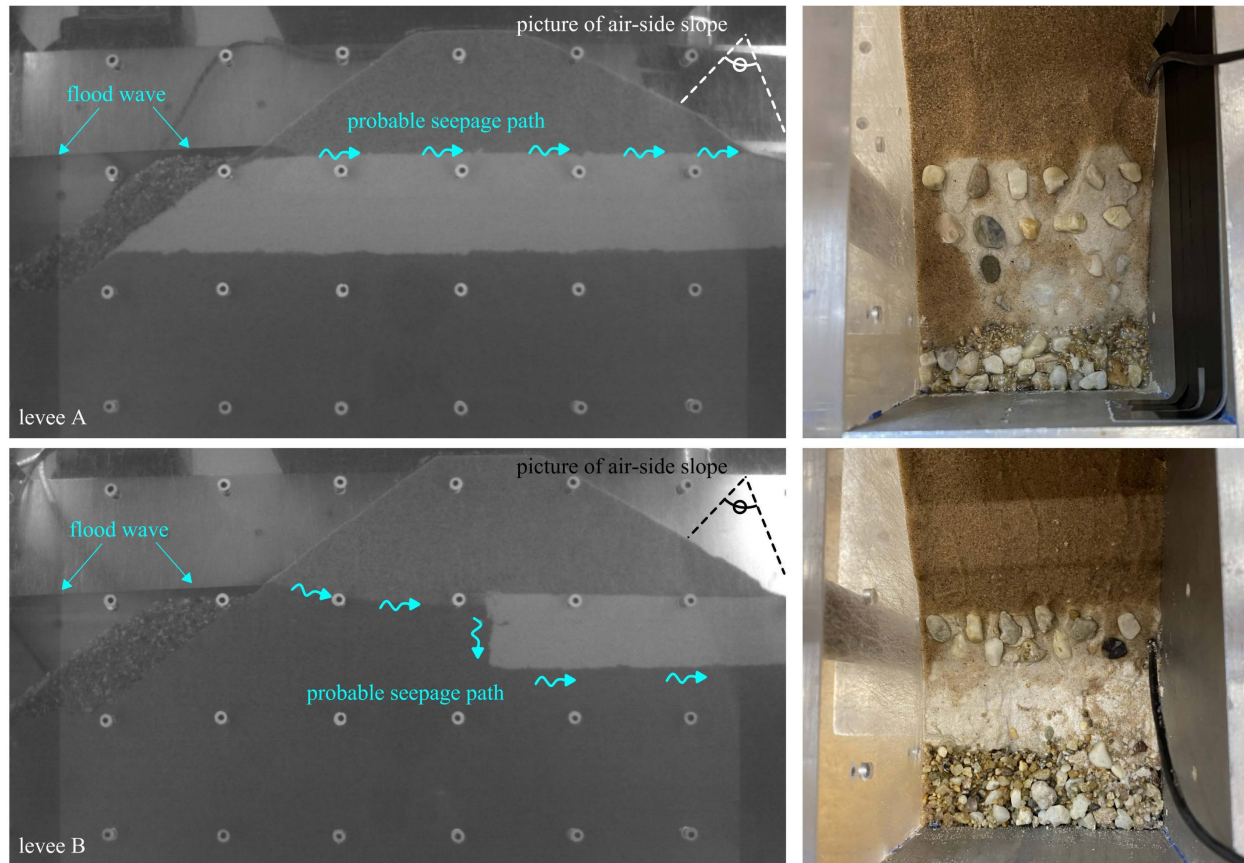


Figure 7. Left: Image of levee A (top) and B (bottom) during the centrifuge test, approximately at the flood peak. Right: Air-side slopes after the tests.

PPT B1 shows that the flood wave for levee B is approximately one meter higher than for levee A, see Figure 5. The pre-wave is also much more pronounced for Levee B. In order to be able to directly compare the two levee cross-sections, the PPT measurements are normalised in relation to the maximum value of PPT B1 in Figure 8. The initial letter stands for the corresponding levee cross-section (A PPT B1 for the measurement of PPT B1 at levee cross-section A). The time is given at prototype scale. The following observations from the comparison of the two centrifuge model tests should be pointed out:

- There is a significant damping of the flood wave upon entering the levee. PPT 5 reaches approximately 85% of the peak of PPT B1 with a distinct delay in time.
- The damping of the flood wave in relation to the maximum pressure head at PPT B1 is practically identical in the two cross-sections. For example, PPT6 in the centre of the levee shows for both levees a pressure head of approximately 3/4 of the maximum flood wave.
- The development of the pore water pressure over time, however, appears to be different in the two cross-sections. The pressure peaks are reached faster in cross-section B compared to cross-section

A. However, it must be emphasised that not only the cross-section is different, but also the saturation of the levee fill during the main flood wave is probably greater in cross-section B due to the larger pre-wave.

3.2 Comparison of centrifuge test results for levee A to a comparative numerical model

Results obtained from the centrifuge test for levee A are compared to the results of a comparative numerical model (section 2.2). As previously outlined, it is necessary to add a time increment of 2.7 hours to the measurement of A PPT B1 in order to model the flood wave acting on the levee (A PPT B1 + 2.7 h is designated as ‘flood’ in Figure 9). By comparing the pressure changes from the centrifuge test with those from the comparative numerical model (FEM in Figure 9), the following observations can be made: The infiltration behaviour of the numerical model differs from the physical model. For the latter, it is noticeable that there is a significant damping of the flood wave upon entering the levee (see PPT5) and the propagation of the flood wave within the levee is significantly slower on the water side than towards the air-side. This becomes clear by comparing the shifting of the pressure peaks over time.

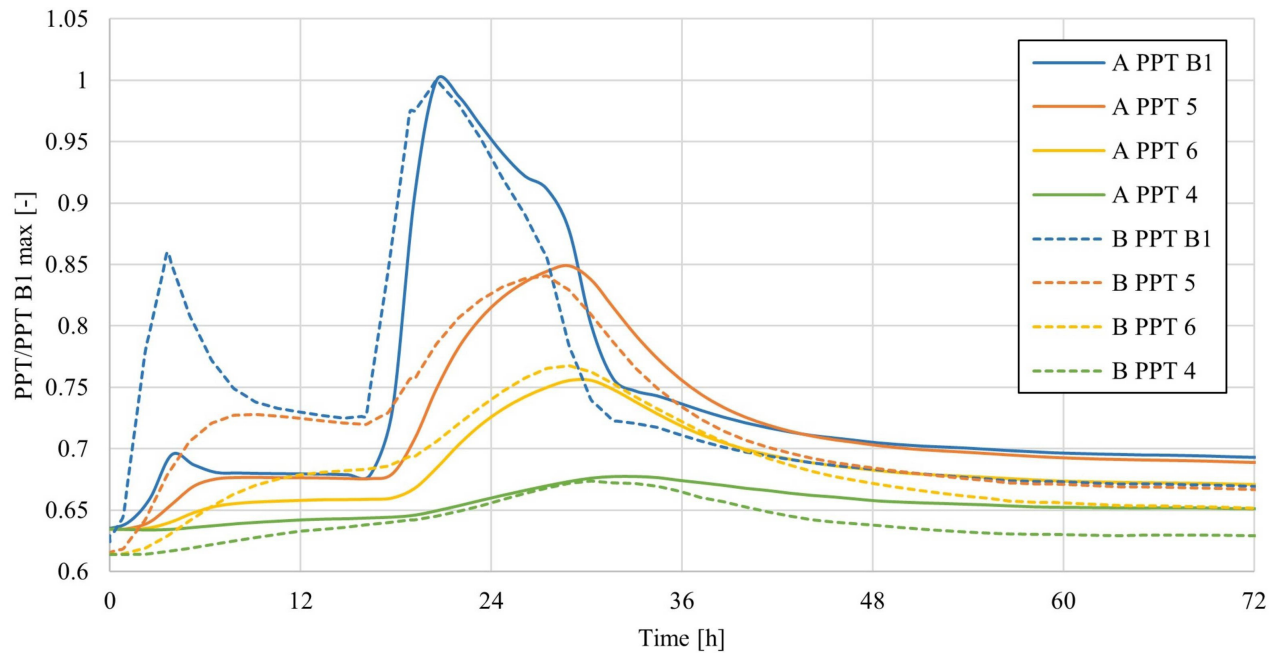


Figure 8. Comparison of normalised PPT measurements of levee A and levee B (time in prototype scale).

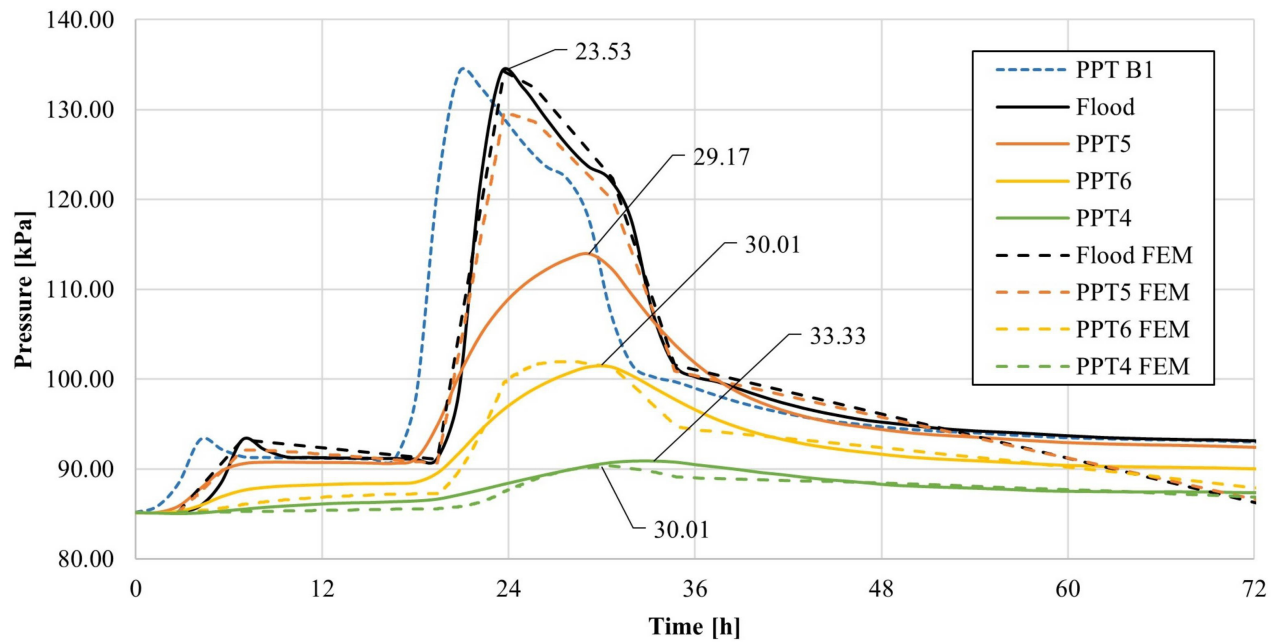


Figure 9. Comparison of PPT measurements of levee A and the FEM simulation (time in prototype scale).

The delay between the flood wave and PPT5 is about 5.6 hours, whereas it is only about 3.3 hours between PPT6 and PPT4. The numerical simulation, on the other hand, shows almost no damping for PPT5.

Nonetheless, PPT6 and PPT4 show relatively good agreement at least in the damping of the pressure magnitude.

In the centrifuge test it took about 10 hours to reach the maximum pore pressure at the air-side of the levee (peak of PPT4 compared to peak of 'flood'), whereas in the numerical model there are only about 7 hours in between. Furthermore, all pore water pressures almost reach the initial value again at the end of the numerical simulation.

recommended to maintain full permeability between the inlet water tank and the model compartment by drilling additional holes. It is also recommended to install an additional impermeable foil between the gravel filter and the levee base (i.e. Rhine gravel in the prototype, see Figure 3), given that flood loading in the prototype is predominantly characterised by inflow from the river bed and not by horizontal inflow to the base of the levee.

- The initial degree of saturation of the levee above the seepage line is unknown - but this significantly influences the infiltration time (Mayor, 2013). The installation of tensiometers to measure suction could therefore be advantageous (Askarinejad et al., 2018; Giretti et al., 2022).
- The intended flood wave, which is inspired by real flood events, could not be achieved due to the complexity of the test control. If the flood wave characteristics are to be simplified for further tests, possible saturation changes of the levee before the flood should be considered.
- Although the comparison of numerical simulation results and centrifuge model tests shows good agreement (with one exception mentioned above), additional laboratory tests should be carried out to investigate soil behaviour in more detail. In this context, two aspects of soil behaviour are of particular interest: i) Anisotropic permeability: isotropic permeability was assumed in the numerical simulations ($k_h = k_v$), whereas artificially compacted soils often exhibit anisotropic permeability ($k_h/k_v > 1$). ii) Estimation of soil water retention curves (SWRCs): it is recommended that SWRCs are determined with additional laboratory tests for further simulations. So far, SWRCs have been estimated on the basis of grain size distributions.
- It should be considered whether the test may be carried out with viscous fluid instead of water to reduce the time scaling factor (Wood, 2004). This could help to extend the simulated flood duration to achieve better experimental control and data collection.

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Declaration of interest

The authors have no conflicts of interest to declare. All co-authors have observed and affirmed the contents of the paper and there is no financial interest to report.

Authors' contributions

Gregor Portmann: conceptualization, data curation, formal analysis, methodology, investigation, visualization, writing – original draft. André Arnold: conceptualization, funding acquisition, methodology, project administration, resources, supervision, validation, writing – review & editing. Yuen Zhang: methodology, investigation, writing – review & editing. Amin Askarinejad: conceptualization, methodology, supervision, project administration, resources, validation, writing – review & editing.

Data availability

The datasets generated and analysed in the course of the current study are available from the corresponding author upon request.

Declaration of use of generative artificial intelligence

This work was prepared with the assistance of generative artificial intelligence (GenAI) DeepL (<https://www.deepl.com>) to provide assistance in translating text elements from German into English. The entire process of using this tool was supervised, reviewed and when necessary edited by the authors. The authors assume full responsibility for the content of the publication that involved the aid of GenAI.

List of symbols and abbreviations

d	diameter
d_{70}	70% of the soil grains are finer than the given value
e	voids ratio
e_{init}	initial voids ratio after compaction
g	gravitational acceleration, 9.81 m/s ²
h	pressure head (suction is positive)
k	coefficient of permeability, k_v : for vertical and k_h : for horizontal permeability
l	seepage path length, l_m : in the model, l_p : in the prototype respectively
m	model parameters describing the curvature of the water retention curve after van Genuchten (1980)
n	model parameters describing the curvature of the water retention curve after van Genuchten (1980)
t	time, t_m : in model scale, t_p : in prototype scale respectively
v	seepage velocity, v_m : in the model, v_p : in the prototype respectively
w	water content
FEM	Finite Element Method
H	height of the flood simulator
K_r	relative hydraulic permeability of partially saturated soils

L	length of the flood simulator
N	centrifuge acceleration level (N times earth gravity)
PPT	Porewater-Pressure-Transducers
SWRC	Soil Water Retention Curve
W	width of the flood simulator
α	model parameters describing the curvature of the water retention curve after van Genuchten (1980)
ϕ'_{cv}	critical state angle of friction
θ	volumetric water content
θ_r	residual volumetric water content
θ_s	saturated volumetric water content
Θ	dimensionless water content

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